

APPENDIX A Facility Self-Management Program

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1-1 Introduction

Subparts

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1-101 Purpose and Scope

- 1-101.1 Facilities with AQUATIC VENUES associated with residential living units may make application to the HEALTH AUTHORITY to self-manage the AQUATIC VENUES at the facility.
- 1-101.2 This program is designed for facilities where the use of the AQUATIC VENUES is limited to residents of the facility and their invited guests. The facility types include, but are not limited to the following:
 - (A) Apartment communities;
 - (B) Homeowner's Association communities;
 - (C) Condominium communities;
 - (D) Cooperative Living communities;
 - (E) Townhouse communities; and
 - (F) Any other residential facility type as approved by the HEALTH AUTHORITY
- 1-101.3 Approved participants must demonstrate that they can satisfactorily manage the health and safety of their facilities to avoid operating with an IMMINENT HEALTH HAZARD.

1-102 Qualifications

- 1-102.1 Facilities wishing to make application for the program must meet the following criteria:
 - (A) Facilities must demonstrate satisfactory inspection history at least two years prior to application with no IMMINENT HEALTH HAZARDS observed;
 - (B) The facility shall not have any inspection activity, complaint investigation and/or drowning/diving accident investigation that resulted in a SNHD closure;
 - (C) Inspection history for other facilities serviced by the same QUALIFIED OPERATOR and/or having the same ownership will be evaluated when considering the application;
 - (D) Facilities must maintain complete and accurate operating records for all AQUATIC VENUES; and
 - (E) Facilities may be required to provide additional documentation as requested by the HEALTH AUTHORITY

1-103 Application Requirements

- 1-103.1** Applications must include current ownership/management information and ownership/management history if ownership or management has changed within the last two years.
- 1-103.2** Facilities must provide a two (2) year maintenance history, including AQUATIC VENUE operating records.

1-104 Facility Site Visit

Prior to approval, each facility will be required to schedule a site visit with the HEALTH AUTHORITY.

- 1-104.1** During the site visit, the HEALTH AUTHORITY will review the requirements with the facility management to ensure that expectations are met if approval is granted
- 1-104.2** If any outstanding compliance items are noted at the time of the visit, the items must be addressed prior to approval.

1-2 Compliance Requirements

Subparts

1-201 Required Documentation

1-202 Complaints

1-203 Drowning/Diving Accident Investigations

1-201 Required Documentation

- 1-201.1** Facilities in the program must provide copies of AQUATIC VENUE maintenance records and self-inspection reports on a quarterly basis.
- 1-201.2** Documentation will be reviewed by the HEALTH AUTHORITY and must successfully demonstrate that the facility is managing health and safety.
- 1-201.3** Any changes in facility management, qualified operators, or facility ownership must be communicated to the HEALTH AUTHORITY within 30 days of the changes taking place.

1-202 Complaints

- 1-202.1** Upon receipt of a complaint from members of the public the HEALTH AUTHORITY will conduct a complaint investigation.

1-203 Drowning/Diving Accident Investigations

- 1-203.1** Upon receipt of notification of drowning/near drowning or diving accident at AQUATIC FACILITIES in the program, the HEALTH AUTHORITY will respond to the facility to investigate.

1-3 Withdrawal and Termination

Subparts

1-301 Withdrawal

1-302 Change of Ownership/Facility Management

1-303 Non-Compliance

1-301 Withdrawal

1-301.1 Any facility in the program may withdraw the application or request that status in the program be removed at any time.

1-302 Change of Ownership and/or Management

1-302.1 Upon change of ownership, change in property/community management, or change in QUALIFIED OPERATOR, the facility must submit notification of such changes to the HEALTH AUTHORITY within 30 days.

1-302.2 Program status may be temporarily suspended while a thorough review of compliance requirements is conducted by the HEALTH AUTHORITY. Additional site visits or documentation may be required.

1-302.3 Ownership history will be considered upon original application. If the new facility owners or property/community management have a history of non-compliance, program status may be revoked, and satisfactory compliance must be demonstrated for a period of at least two (2) years before the facility can reapply.

1-302.4 If a facility changes QUALIFIED OPERATORS and the OPERATOR has a history of non-compliance, program status may be revoked, and satisfactory compliance must be demonstrated for a period of at least two (2) years before the facility can reapply.

1-303 Non-Compliance

1-303.1 The presence of an IMMINENT HEALTH HAZARD will result in a closure.

1-303.2 If, at any time, the HEALTH AUTHORITY believes that a facility is not in compliance with the Aquatic Facility Regulations, program status will be temporarily suspended pending a review that may result in a revocation. Participants may request an appeal pursuant to Section 5-505 of the Aquatic Facility Regulations.

1-303.3 If non-compliance is demonstrated through incomplete or unsatisfactory documentation, observance of IMMINENT HEALTH HAZARDS on inspection, high volumes of complaint submission, any closure of the facility by the HEALTH AUTHORITY, or by any other means, the facility will enter the administrative process and status will be reviewed.

1-303.4 When program status is revoked, a minimum of two (2) years of compliance must be demonstrated prior to applying for program reinstatement.

1-4 Applicability of Aquatic Facility Regulations

Subparts

1-401 Subject to Regulations

1-402 Equipment Replacements/Remodels

1-401 Subject to Regulations

1-401.1 Any facility in the program is subject to the requirements of the Aquatic Facility Regulations, sections 1-1 through 5-13, inclusive, and must successfully demonstrate that ongoing compliance is met. Failure to meet compliance of the Aquatic Facility Regulations could result in revocation of program status.

1-402 Equipment Replacements/Remodels

1-402.1 Any facility replacing equipment must appropriately notify the HEALTH AUTHORITY in accordance with Section 2 of the Aquatic Facility Regulations, either through remodel application or SUBSTANTIALLY SIMILAR equipment replacement notification, as appropriate. Failure to appropriately notify the HEALTH AUTHORITY will result in additional fees and could result in revocation of program status.